

REGISTRANT ELIGIBILITY POLICY

1.0 Title: Registrant Eligibility Policy
Version Control: 1.0
Date of Implementation: DRAFT-20141217

2.0 Summary

All domain names registered in the .BANK gTLD must comply with this Registrant Eligibility Policy (the “Policy”). This Policy sets forth the classifications of businesses and organizations that are permitted to register domain names in .BANK, and a non-enumerated list of documents that they may be requested to provide to establish their eligibility. This Policy also incorporates an appeals mechanism by which a business or organization that has been denied eligibility may seek a review of that denial.

3.0 Qualified Registrants

The following for-profit and not-for-profit businesses or organizations are initially eligible to register domain names in .BANK:

- 3.1 State, regional and provincial banks that are chartered and supervised by a government regulatory authority;
- 3.2 Savings associations that are chartered and supervised by a government regulatory authority;
- 3.3 National banks that are chartered and supervised by a government regulatory authority;
- 3.4 Associations whose members are primarily comprised of entities identified above in 3.1, 3.2 or 3.3;
- 3.5 Groups of associations whose members are primarily comprised of associations identified above in 3.4;
- 3.6 Service providers that are principally owned by or predominantly supporting regulated entities identified above in 3.1, 3.2 or 3.3. (if approved by the Registry Operator Board); and
- 3.7 Government regulators of chartered and supervised banks or savings associations or organizations whose members are primarily comprised of such government regulators (if approved by the Registry Operator Board).

4.0 Registrant Documentation

As part of the registration process, Applicants will be required to provide certain information to Registry Operator, or its designated third-party service provider, to verify their eligibility to register a domain name in .BANK. This information may include, but is not limited to, the following:

- 4.1 Full legal name:
- 4.2 Business name:
- 4.3 Business address:
- 4.4 Business phone:
- 4.5 Business email:
- 4.6 Another proof of identity necessary to establish that the Applicant is an eligible member of the banking community (e.g., business license, certificate of formation, articles of incorporation, corporate operating agreement, charter documents, attorney opinion letter, mission statement for non-profit organization):
- 4.7 For banks and savings associations, the assigned regulatory ID and government regulatory authority issuing its charter or license.

5.0 Compliance with Laws – Unqualified Registrants

Registry Operator, a limited liability company formed and domiciled in the United States (U.S.), must comply with all U.S. laws, rules, and regulations. One such set of regulations is the economic and trade sanctions program administered by the Office of Foreign Assets Control (OFAC) of the U.S. Department of the Treasury. These sanctions have been imposed on certain countries, as well as individuals and entities that appear on OFAC's List of Specially Designated Nationals and Blocked Persons (the SDN List). Registry Operator is prohibited from providing most goods or services to residents of sanctioned countries or their governmental entities or to SDNs without an applicable U.S. government authorization or exemption.

Registry Operator, in its capacity as a global registry operator, must take into account all applicable laws, rules and regulations in the jurisdictions where it operates. As such, Registry Operator reserves the right to deny or cancel registrations based upon relevant sanctions, programs or standards administered and/or supported in other jurisdictions.

6.0 Prohibition Against the Use of Proxy/Privacy Registration Services

Registry Operator is committed to ensuring that .BANK is administered in a secure and stable manner and that the Whois data of domain names in .BANK is reliable, accurate and up-to-date. Therefore, Registry Operator expressly prohibits the use of proxy or private domain name registration services in .BANK by Applicants and Registrants. Additionally, neither Registrar nor any of its resellers, affiliates, and/or partners shall be permitted to offer proxy or private domain name registration services in .BANK which would prevent Registry Operator from having and displaying true and accurate Whois data.

7.0 Appeal

Any business or organization whose request for registration has been denied based upon this Policy may appeal this decision through the Registration Eligibility Dispute Resolution Policy available on/via Registry Operator's website.

8.0 Amendment

Registry Operator reserves the right to modify this Policy at its sole discretion in accordance with its rights and obligations set forth in its Registry Agreement. Such revised Policy shall be posted on Registry Operator's website at www.ftld.com/policies at least 15-calendar days before its effective date. In the event that a Registrant objects to the any change in this Policy, the sole remedy is cancelation of the domain name registration.

Registrant Eligibility Policy Implementation Guidelines

Registry Operator's implementation of Section 3.6 of this Policy defines service providers "predominantly supporting regulated entities" as:

- Core processors that provide integrated information management and electronic commerce systems on behalf of organizations that are eligible to register domain names in .BANK
- Service providers whose services are not integrated in the systems and/or infrastructure of organizations that are eligible to register domain names in .BANK

A service provider is eligible for a domain name in .BANK if its customers or the beneficiaries of its services are predominantly entities that are eligible to register a domain name in .BANK. For example, a technology services provider that claims its customers represent a broad range of industries including financial services, retail, healthcare, energy, environmental, etc. would not be eligible for a domain name in .BANK. All service provider applications for domain names must be approved by Registry Operator's Board of Directors.

The following is a representative list of examples of service providers that are eligible to register a domain name in .BANK:

Core Processors

- FIS
- Fiserv
- Secpoint

Service Providers

- Financial Services Information Sharing and Analysis Center
- SWIFT
- VocaLink